

LN 18 Sampling, Interpolation, Anti-Aliasing Filter, Nyquist Condition for Perfect Reconstruction

2023년 12월 6일 수요일 22:56

CM31 2312014Th on Sampling and Interpolation

Announcements

1. Messages from Instructor

- Goal of Life: Self-Fulfillment and Contribution to Collective (자아실현, 공동체 기여)
 - Attitude: Exceed the expectations! (기대를 넘어서라!)
 - Drive yourself by fear and dream.
- "아는 것이 힘이다. 배우고야 무슨 일이든 한다." -심훈, 상록수-
- 학습 (배우고 익히기)
 - Theory Learning (이론 배우기)
 - Problem Solving (문제 풀기)
 - 배우기와 익히기의 균형
- How to Learn
 - Stop and Think; 복기가 chess master 를 만든다; Find commonality, similarity, and difference; **철저한 이해와 완벽한 암기**
- How to Practice

Purposeful practice with 3F: Time, Focused Action (무엇을 향상시키려는 훈련인가?; Push oneself little bit out of the COMFORTABLE ZONE), Experience, Lesson, Immediate Feedback (코치의 중요성), Fix, Growth
- How to solve? How to understand? ((S))implify and ((V))isualize:
 - ((S)) essence, simplify; generalize; consider extremes; mental representation, representational examples;
 - ((V)) Venn diagram, necessity and sufficiency, existence and uniqueness/plurality
 - An imperfect classification rule is better than no classification rule as long as you recognize the imperfection and update your rule.
 - block diagram, G(V,E); graph of a function, algebraic vs. geometric interpretations, mathematical vs. physical/engineering interpretations/implications

2. 지난 강의 수정/보완 사항

- direct form 1, direct form 2
 - Given an LCCDE, there are many 'forms' to implement it using delay components, adders, and constant multipliers.
 - https://en.wikipedia.org/wiki/Digital_filter

$$\sum_{m=0}^M a_m y_{n-m} = \sum_{k=0}^N b_k x_{n-k}$$

z-plane

$$y[n] = - \sum_{k=1}^M a_k y[n-k] + \sum_{k=0}^N b_k x[n-k]$$

Direct form I [edit]

$$\sum_{m=0}^M a_m y_{n-m} = \sum_{k=0}^N b_k x_{n-k}$$

$$H(z) = \frac{(z+1)^2}{(z-\frac{1}{2})(z+\frac{3}{4})}$$

This is expanded:

$$H(z) = \frac{z^2 + 2z + 1}{z^2 + \frac{1}{4}z - \frac{3}{8}}$$

and to make the corresponding filter **causal**,

$$H(z) = \frac{1 + 2z^{-1} + z^{-2}}{1 + \frac{1}{4}z^{-1} - \frac{3}{8}z^{-2}} = \frac{Y(z)}{X(z)}$$

rearranging terms:

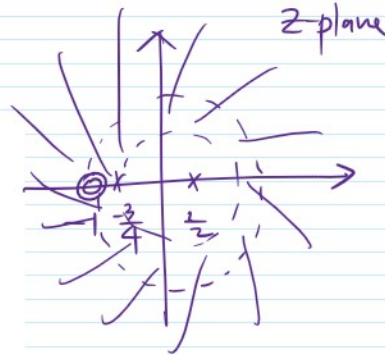
$$\Rightarrow (1 + \frac{1}{4}z^{-1} - \frac{3}{8}z^{-2})Y(z) = (1 + 2z^{-1} + z^{-2})X(z)$$

then by taking the inverse z-transform:

$$\Rightarrow y[n] + \frac{1}{4}y[n-1] - \frac{3}{8}y[n-2] = x[n] + 2x[n-1] + x[n-2]$$

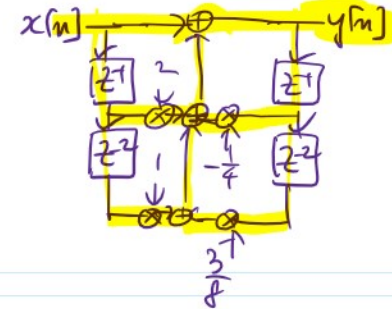
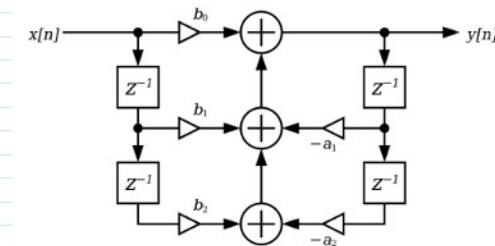
and finally, by solving for $y[n]$:

$$y[n] = -\frac{1}{4}y[n-1] + \frac{3}{8}y[n-2] + x[n] + 2x[n-1] + x[n-2]$$



Direct form I [edit]

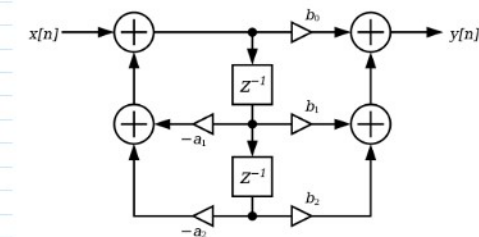
A straightforward approach for IIR filter realization is **direct form I**, where the difference equation is evaluated directly. small filters, but may be inefficient and impractical (numerically unstable) for complex designs.^[6] In general, this form (for both input and output signals) for a filter of order N.



Direct form II [edit]

The alternate **direct form II** only needs N delay units, where N is the order of the filter – poter obtained by reversing the order of the numerator and denominator sections of Direct Form I, commutativity property applies. Then, one will notice that there are two columns of delays (z^{-1} combined since they are redundant, yielding the implementation as shown below.

The disadvantage is that direct form II increases the possibility of arithmetic overflow for filter: Q increases, the round-off noise of both direct form topologies increases without bounds.^[8] TI through an all-pole filter (which normally boosts gain at the resonant frequencies) before the zero filter (which often attenuates much of what the all-pole half amplifies).



3. HW, Quiz, Voluntary Project, and Exam

- o **Final Exam** is approaching. Unless basic mandatory courses have conflicting schedules, it will be taken **7 pm to 11pm on Thursday Dec. 21 at LG 102.**
 - comprehensive, i.e., covers upto todays class meeting material
 - open everything except any interaction with humans
 - no restroom access during the exam
- o Voluntary Project (due by 12/28 18:00)
 - Topic must be approved before final exam. Topics related to this course material is acceptable.
 - Presentation file and presentation mp4 of length >20 minutes must be submitted.
 - No reuse, double use, etc.
 - To send, you must use POSTECH webmail and attach as Overzised Attachments.
 - Please check my confirmation reply. (I will be out of town from 26-28, so that my replay can be

delayed.)

- There may be a supplementary CM. No attendance required. It will not be covered by the final exam.
- HW15 will NOT be assigned.

4. 강의 자료

- Handouts and annotated pdfs are downloadable from the non-PLMS class homepage at <http://cisl.postech.ac.kr/class/eece233/schedule.htm>.
- Recorded CMs are available from cisl.postech YouTube channel. Please place clickable time stamps.

5. 출석

- 출결상태에 대해 전자출결시스템(<https://wpsec.postech.ac.kr/eams/login>)을 확인하세요. 정정 사유가 있는 학생은 증빙을 제출해 주세요.

6. Overview (The numbers are the chapter numbers of the textbook)

1. Signals and Systems
2. Linear Time-Invariant Systems
3. Fourier Series Representation of Periodic Signals
4. Continuous-time Fourier Transform
5. Discrete-time Fourier Transform
6. Time and Frequency Characterization of Signals and Systems
9. Laplace Transform
10. Z-transform
7. Sampling
8. Communication Systems

7. Related Courses offered in 24-1

- EECE302 전자수학 A (확률 및 랜덤프로세스); 월수 2시; 신호및시스템
- EECE361/DISU361 전자파응용; 화목 11시; 전자기학 개론
- EECE441 디지털통신개론; 월수 11시; 신호및 시스템, 전자수학
- EECE442/NGCN301 통신및네트워크개론; 화목 2시
- EECE443/NGCN302 통신및네트워크실험; 월5시-10시, 1 seat available now
- EECE451 디지털신호처리개론; 화목 3시반; 신호및시스템
- 이번 학기말 통신 및 네트워크 융합부전공 신청 기간은 12월 4일부터 12일까지 (신청이전 1과목 이상 수강 필수)

8. Review

- Design of a DT LTI system by using the BZT
 - stable system and ROC
 - causal rational stable
 - DTFT and ZTF
 - 1st-order and 2nd-order system

- an example design of a feedback system
 - UZT
 - Def.
 - UZT of a delayed signal
 - UZT and solving an LCCDE with initial conditions
- Fibonacci sequence $F_{n+2} = F_{n+1} + F_n$, for $n \geq 0$, $F_0 = 0$, $F_1 = 1$
- ZIR로서의 Fibonacci sequence
 - ZSR로서의 Fibonacci sequence

9. Preview

• ((G)) Conversion of a continuous-parameter signal to a discrete-parameter signal

- Key
 - Information in a CT signal: uncountably infinite number of pairs $(t, x(t))$, for all t
 - Information in a DT signal: countably infinite number of pairs $(n, x_d[n])$, $n \in \mathbb{Z}$

○ Q1. Information-preserving conversion possible?

○ A1. Impossible in general.

○ Q2. When possible?

○ A2. So far, we have seen that it is possible when

1) $x(t)$ is a periodic signal,

2) $x(t)$ consists of complex exponential signals, or

3) $x(t)$ is a linear combination of a countable number of known signals.

• Sampling, Interpolation, Anti-Aliasing Filter

○ sampling

▪ uniform vs. non-uniform sampling

□ uniform sampling: sampling rate and sampling offset

□ an LTV system

□ uncountably infinite pairs vs. countably infinite pairs vs. finitely many pairs of (sampling time instant, function value)

◇ not information-preserving in general

◇ when preserving?

○ ADC (analog-to-digital converter)

▪ AAF,

▪ CDC (continuous-time to discrete-time converter = sampler),

□ sample and hold

□ scalar quantization

◇ overflow: saturation/clipping, nulling, sawtooth

▪ encoder

○ Sampling in the TD vs FD

○ sampling circuit

○ TD: sampling function

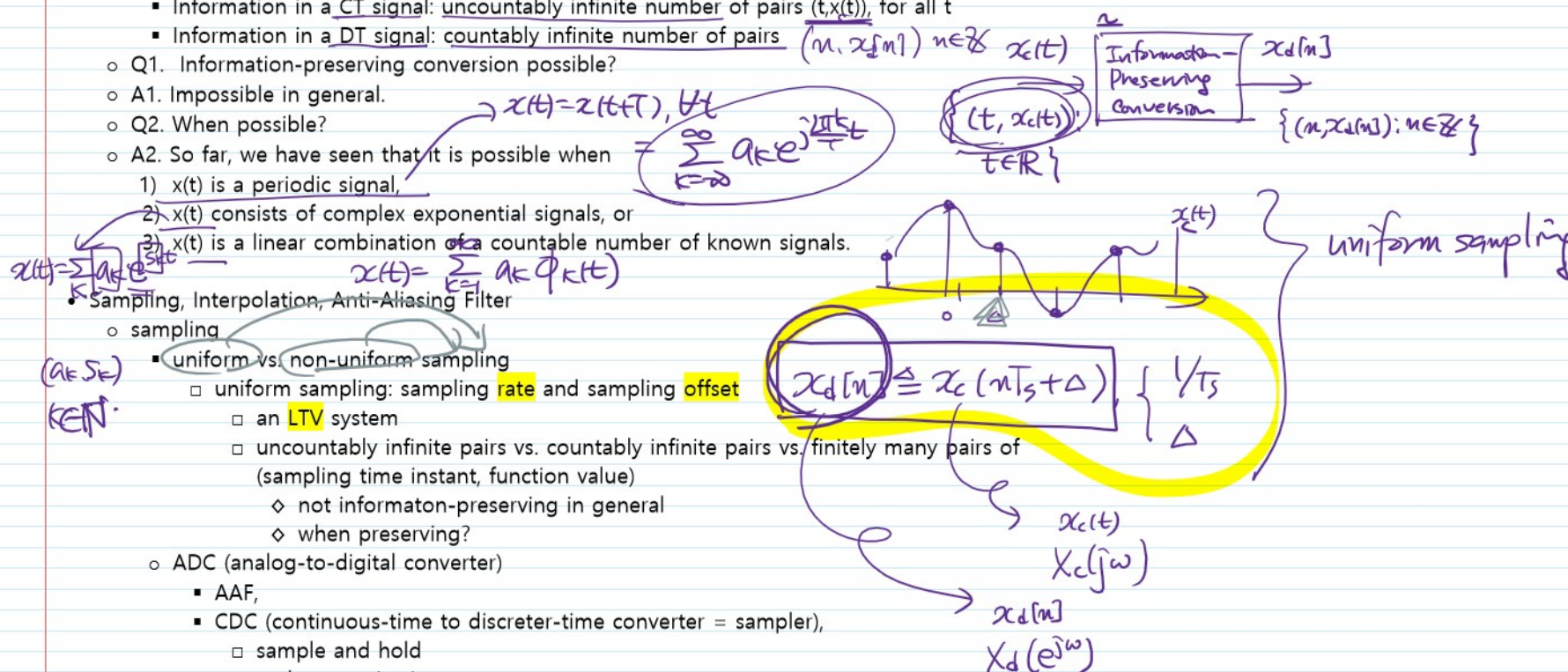
○ FD: sampling rate $\omega \geq 2$ bandwidth

cf) 3 types of quantization overflow

Saturation

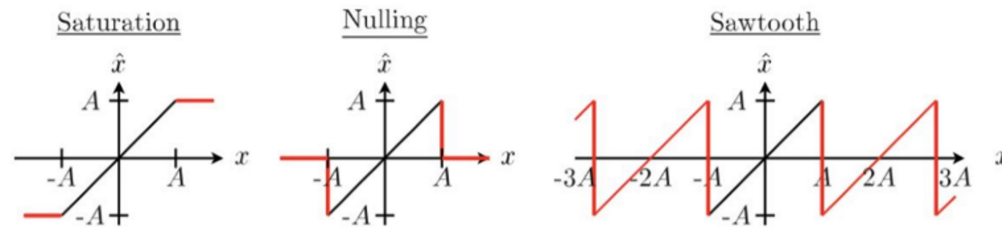
Nulling

Sawtooth



- sampling circuit
- TD: sampling function
- FD: sampling rate $\omega \geq 2$ bandwidth
- finite energy signal!!!
- Interpolation
 - ZOH, FOH
 - Perfect reconstruction
 - Nyquist's sampling theorem
 - strobe
 - AAF
- DT processing of CT signals
 - bandlimited digital differentiator
- Modulation and Demodulation
 - RF communication
 - antenna
 - real bandpass signal
 - complex baseband signal

or 3 types of quantization overview



EECE233 Signals and Systems

Lecture Note #18

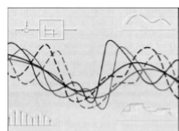
Prof. Joon Ho Cho

(Slides thanks to Qing Hu*, D. Boning, D. Freeman, T. Weiss, J. White, and A. Willsky)

Covers O & W pp. 514-527

- Sampling of a CT Signal
- Analysis of Sampling in the Frequency Domain
- The Sampling Theorem — the Nyquist rate
- In the Time Domain: Interpolation
- Undersampling and Aliasing

* These lecture notes are based on the lecture notes used in 6.003 taught by Prof. Qing Hu at M.I.T. These notes are adopted for EECE233 under the permission of Prof. Qing Hu.



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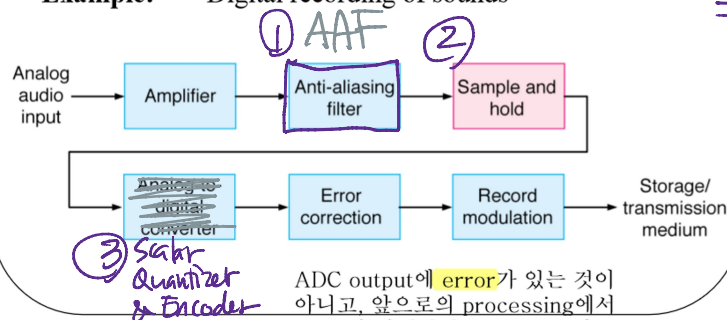
TD processing 인 sampling을 FD에서 이해해 보자.
핵심은

sampling전의 CT 신호의 CTFT와
sampling후의 DT 신호의 DTFT와의 관계를 규명하는 것이다.

SAMPLING

— A crucial step in converting CT signals to DT, so that we can use versatile digital computers or DSPs to process them.

Example: Digital recording of sounds



ADC output에 error가 있는 것이 아니고, 앞으로의 processing에서 error가 발생하면 correction할 수 있도록 redundancy를 추가하는 과정이다.

Digital 시대가 도래하여 눈부신 발전(가격과 성능—processing speed, power consumption, size)을 이루고 있는데, CT signal의 processing을 위해서는 계속 CT system을 만들어야만 할까?

CT signal을 이의 sample들로 나타내어 DT system으로 처리하면 어떨까?

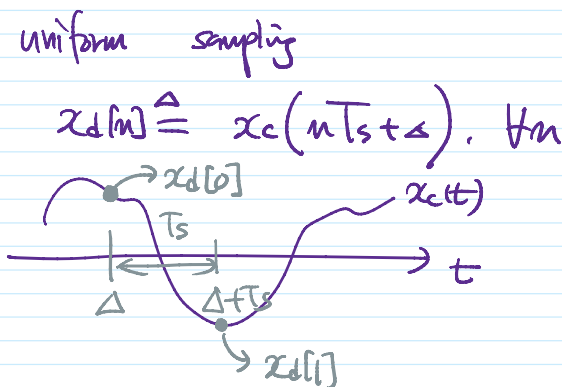
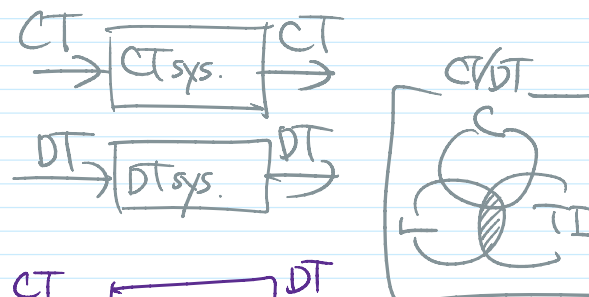
sample들이 CT signal의 모든 정보를 손실없이 가질 수 있을까?

music CD가 나왔을때,

LP판과 CD의 '음질 차이'를 알 수 있다'고 주장하는 사람들이 있었는데 이 주장은 옳은 주장일까?

예를 들어 CD quality인 44 kHz sampling rate란 무슨 소리일까?

CT signal $x(t)$ $x: \mathbb{R} \rightarrow \mathbb{C}$
DT signal $x[n]$ $x: \mathbb{Z} \rightarrow \mathbb{C}$



ADC
= ①+②+③

이 AAF가 왜 필요한지는 차차 설명한다.

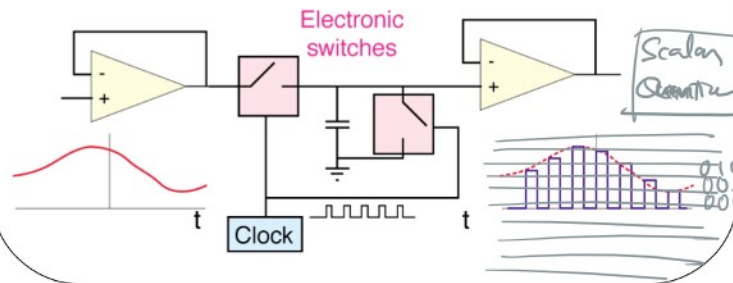
sample and hold는 CT signal을 piecewise constant function으로 approximate하는 CT-input CT-output 시스템이다.

.cda format
sampling rate 44.1.kHz
number of bits 16

How do we perform sampling?

Taking snap shots of $x(t)$ every T second. That is, taking $x(nT)$, and later convert $x(nT)$ to $x[n]$.

Example: A sample-and-hold circuit that produces such periodic snap shots.



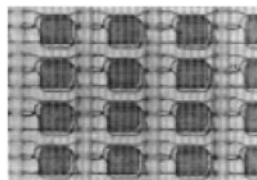
두 스위치는 하나가 닫히면 하나는 열린다. 충전과 방전을 반복한다. 첫번째 사이클에서 왼쪽의 스위치는 충전하고 오른쪽의 스위치는 open되어 있다. ADC 출력으로 신호가 적분되어 나온다.

두번째 사이클에서는 오른쪽의 스위치가 close되고 왼쪽이 open된다. 이에 따라 충전된 전하가 방전되고 출력에는 0이 나온다.

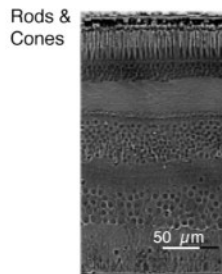
앞서 학기초에 잠시 설명했듯, discrete-time signal processing이라고 하면, amplitude의 quantization과 finite resolution을 생각지 않는 경우가 많고 digital signal processing이라하면 quantization과 finite resolution을 포함하여 말하는 경우가 많다. 이 과목에서는 다루지 않지만, quantization effect와 finite resolution에서 오는 한계는 매우 중요한 토픽이다.

The issue of sampling also applies to spatially varying signals

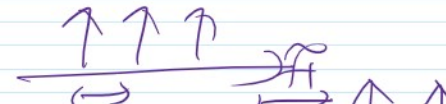
Examples: Light-sensing part of a digital camera — a CCD (Charge-Coupled-Device) device. The spacing between the adjacent elements determines the *Megapixel*. Also, human's (and all animals') light sensing is discrete.



CCD (charge-coupled-device) at the focal plane of a digital camera



Cross section view of a human retina 망막





Mathematical Model of Idealized Sampling

Impulse Train Approach — Multiplying $x(t)$ by the sampling function

$p(t) = \sum_{n=-\infty}^{+\infty} \delta(t - nT)$ — sampling function
 $x_p(t) = x(t) \cdot p(t) = \sum_{n=-\infty}^{+\infty} x(nT) \delta(t - nT)$

여기서 sampling은 CT signal을 CT modulated impulse train으로 바꾸는 것을 의미한다.

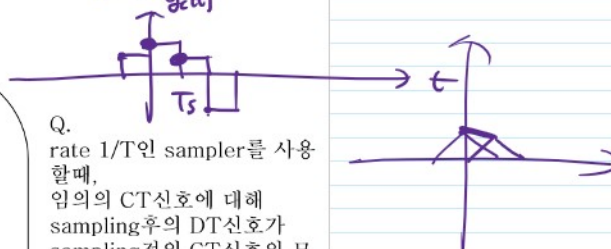
Q Given $x_d[n]$ & $x_c(t+nT_s)$
explain how to reconstruct $x_c(t)$

A $y_c(t) = \sum_{n=-\infty}^{+\infty} x_d[n] p(t - nT_s)$



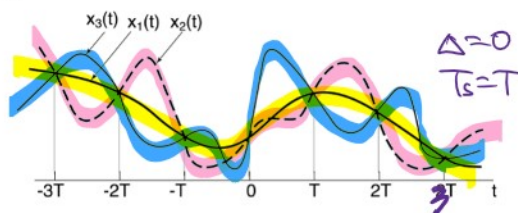
$$\begin{aligned}
 &= x_c(t) \cdot \sum_{n=-\infty}^{+\infty} \delta(t - nT_s) \\
 &= \sum_{n=-\infty}^{+\infty} x_c(t) \delta(t - nT_s) \\
 &= \sum_{n=-\infty}^{+\infty} x_c(nT_s) \delta(t - nT_s)
 \end{aligned}$$

$Y_c(j\omega) =$



Why/When Would a Set of Samples Be Adequate?

- Observation: $x_1(t)$, $x_2(t)$, $x_3(t)$ and many other signals have the same samples



- Obviously, by doing sampling we throw out some (a lot) information (all values of $x(t)$ between sampling points are lost).
- Key Question for Sampling:**
Under what conditions can we reconstruct the original CT signal $x(t)$ from the sampled signal $x_p(t)$? — $x(t)$ cannot change too fast.

Q. rate $1/T$ 인 sampler를 사용할 때, 임의의 CT신호에 대해 sampling후의 DT신호가 sampling전의 CT신호의 모든 정보를 그대로 유지하고 있을 필요충분 조건 on sampling rate?

H. uncountably infinite개의 정보를 요구하는 CT signal을 countably infinite개의 정보로 바꾸는 sampling은 일반적으로 가역적인 처리가 아니다. 왼쪽 그림에서처럼, 서로 다른 CT signals가 sampling 후에 같은 DT 신호를 생성할 수 있다.

- **Key Question for Sampling:**

Under what conditions can we **reconstruct** the original CT signal $x(t)$ from the sampled signal $x_p(t)$? — $x(t)$ cannot change too fast.

6

복원할 수 없는 신호가 아닌 신호가 아니다.
왼쪽 그림에서처럼, 서로 다른 CT signals가 sampling 후에 같은 신호로 바뀐다.

Analysis of Sampling in the Frequency Domain

$$x_p(t) = x(t) \cdot p(t)$$

$$\text{Multiplication Property} \Rightarrow X_p(j\omega) = \frac{1}{2\pi} X(j\omega) * P(j\omega)$$

But $P(j\omega)$ is also a "sampling function" in the frequency domain

$$P(j\omega) = \frac{2\pi}{T} \sum_{k=-\infty}^{+\infty} \delta(\omega - k\omega_s)$$

where $\omega_s = \frac{2\pi}{T}$ = Sampling Frequency

then $\Downarrow$

Important to note: $\omega_s \propto 1/T$

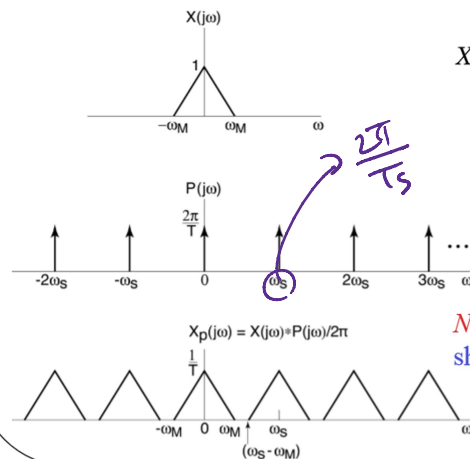
$$X_p(j\omega) = \frac{1}{T} \sum_{k=-\infty}^{+\infty} X(j\omega) * \delta(\omega - k\omega_s)$$

$$= \frac{1}{T} \sum_{k=-\infty}^{+\infty} X(j(\omega - k\omega_s))$$

Superposition of shifted spectra

7

Illustration of sampling in the frequency-domain for a **band-limited** ($X(j\omega)=0$ for $|\omega| > \omega_M$) signal



$X_p(j\omega)$ drawn assuming

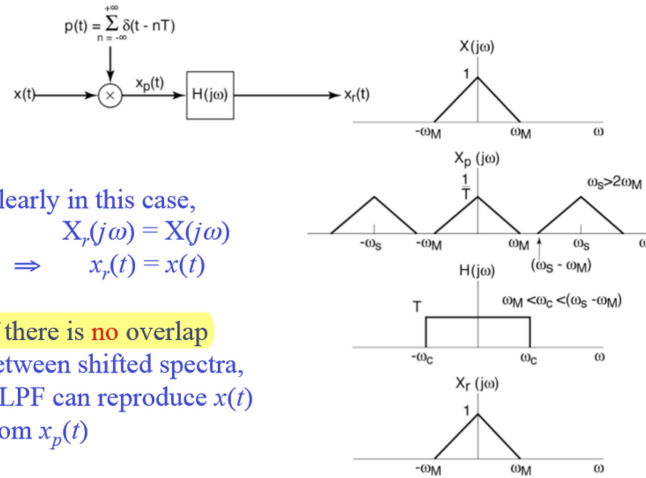
$$\omega_s - \omega_M > \omega_M$$

i.e. $\omega_s > 2\omega_M$

No overlap between shifted spectra

8

Reconstruction of $x(t)$ from sampled signals



9

즉, 이 경우는 적절한 cutoff frequency를 갖는 ideal LPF를 쓰면 perfect reconstruction이 가능하다.

The Sampling Theorem

Suppose $x(t)$ is **bandlimited**, so that

$$X(j\omega) = 0 \quad \text{for } |\omega| > \omega_M.$$

Then $x(t)$ is uniquely determined by its samples $\{x(nT)\}$ if

$$\omega_s > 2\omega_M = \text{The Nyquist rate}$$

$$\text{where } \omega_s = 2\pi/T.$$

Intuitively, this makes sense since a **bandlimited** signal has a limited amount of information, which can be **fully** captured in the snap shots $\{x(nT)\}$.

10

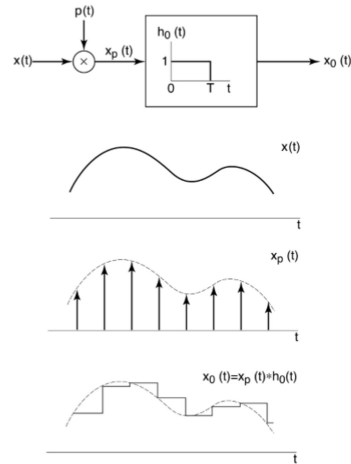
주의! if and only if 가 아닙니다.

Observations on Sampling

- (1) In practice, we obviously don't sample with impulses or implement ideal lowpass filters.

One practical example:

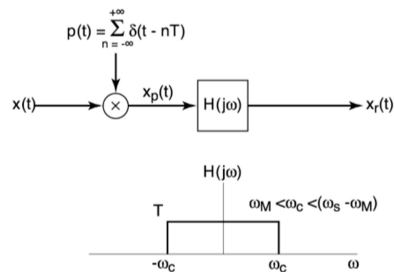
— The Zero-Order Hold



11

Observations (Continued)

- (2) Sampling is fundamentally a *time-varying* operation, since we multiply $x(t)$ with a time-varying function $p(t)$. However,



is the identity system (which is *TI*) for bandlimited $x(t)$ satisfying the sampling theorem ($\omega_s > 2\omega_M$).

- (3) What if $\omega_s \leq 2\omega_M$? Something different: more later.

12

Q. Sampling은 linear operation인가?

A. linear 이다.

Q. time-varying 인가 invariant인가?

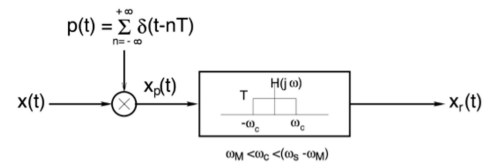
A. Time-varying이다.

일단 sampling을 CT2CT인 경우로 생각하면 output에서 input에서는 없던 spectrum 성분이 생겨난다. 따라서 LTI가 아니다.

여기서 $\omega_s - \omega_M$ 은 오른쪽에 생긴 이미지의 lowest frequency component 이다.

$\omega_M < \omega_c < \omega_s - \omega_M$ 에서 오른쪽과 왼쪽향을 모으면 $\omega_x > 2\omega_M$ 이라는 답이 나온다.

Time-domain Interpretation of Reconstruction of Sampled Signals — Band-limited Interpolation

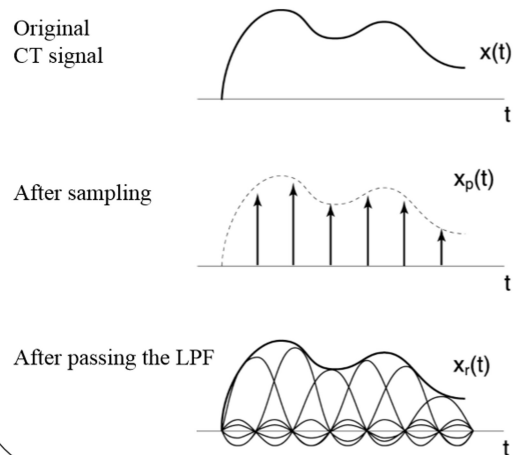


$$\begin{aligned}
 x_r(t) &= x_p(t) * h(t), \quad h(t) = \frac{T \sin(\omega_c t)}{\pi t} \\
 &= \left(\sum_{n=-\infty}^{+\infty} x(nT) \delta(t - nT) \right) * h(t) \\
 &= \sum_{n=-\infty}^{+\infty} x(nT) h(t - nT) = \sum_{n=-\infty}^{+\infty} x(nT) \frac{T \sin[\omega_c(t - nT)]}{\pi(t - nT)}
 \end{aligned}$$

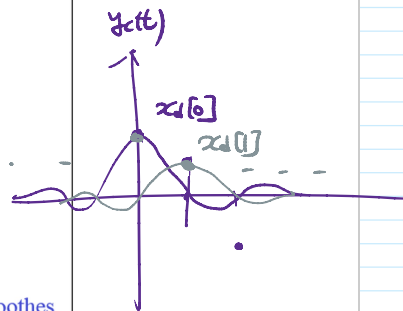
The lowpass filter interpolates the samples *assuming* $x(t)$ contains no energy at frequencies $\geq \omega_c$

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Graphic Illustration of Time-domain Interpolation



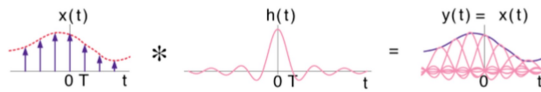
The LPF smooths out sharp edges and fill in the gaps.



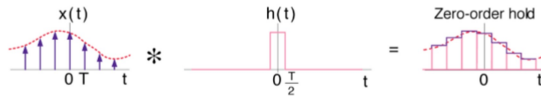
14

Commonly Used Interpolation Methods

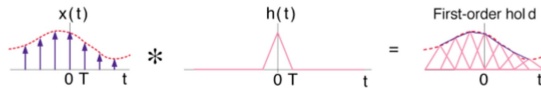
- Bandlimited Interpolation



- Zero-Order Hold
(E.g. movie projection)

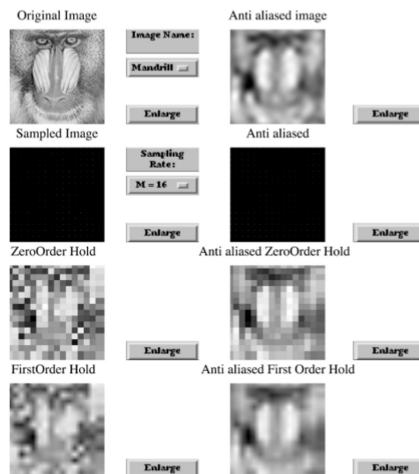


- First-Order Hold —
Linear interpolation, commonly used in plotting.



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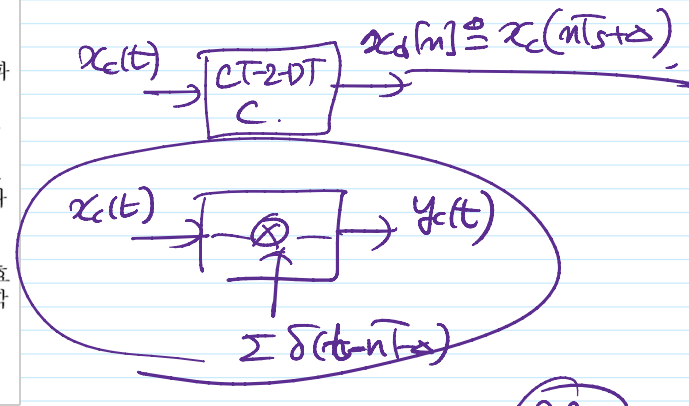
Demo: Sampled Images



AAF (Anti-Aliasing Filter) — A presampling **LPF** to make sure that the signals are bandlimited before going through sampling.

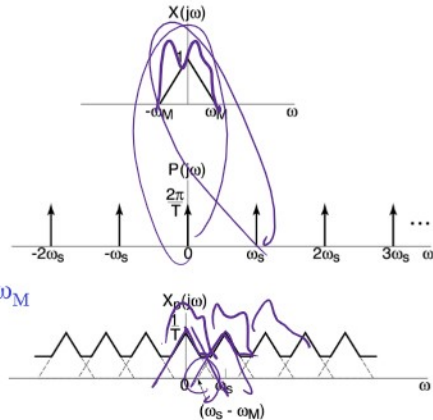
16

예를 들어, audio signal에서 우리가 들을 수 있는 범위가 22kHz라고 해서 마이크로부터의 신호를 그냥 44.1kHz로 샘플링하면 마이크 자체의 가청(?) 주파수가 22kHz보다 크므로 가청 주파수를 벗어나는 신호가 aliasing되어 가청 주파수 대역에 나타나게 된다. 따라서 AAF는 반드시 필요하다. 그런데 문제는 ideal LPF로 AAF를 만들기가 어렵다. 따라서 실제로는 oversampling을 하여 digital filtering하여 AAF 효과를 낸다. 자세한 내용은 학기말에 배운다.



Undersampling and Aliasing

When $\omega_s \leq 2\omega_M \Rightarrow$ **Undersampling**



Note $\omega_s - \omega_M \leq \omega_M$
 $\Rightarrow \omega_s \leq 2\omega_M$

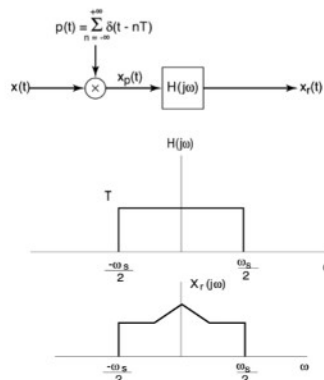
17

영화는 초기에는 초당 16프레임이었다가 현재는 초당 24프레임이다. NTSC방식은 초당 30프레임이다.

Aliasing 때문에 수정의 자전주기를 관측했던 초기의 천문학자들이 잘못 계산된 주기를 믿었다.

왼쪽 그림처럼 aliasing이 일어나고 나면 그 이후에는 무슨 수를 써도 (일반적인 신호에서는) perfect reconstruction이 불가능하다.

Undersampling and Aliasing (continued)



$X_r(j\omega) \neq X(j\omega)$
 Distortion
 because of
 aliasing

- Higher frequencies of $x(t)$ are "folded back" and take on the "aliases" of lower frequencies
- Note that at the sample times, $x_r(nT) = x(nT)$

여전히 sample time에서는 perfect하다. 그러나 샘플

$$\sum \delta(t - nT)$$

$$2\omega_M < \omega_s$$



$$2\omega_M > \omega_s$$



real
baseband

Perfect Reconstruction

(i) $x(t)$ bandlimited to

$$X(j\omega), |\omega| < \omega_M, \forall \omega$$

(ii) $x(t)$ is a finite-energy signal.
 $\frac{1}{T_s} \rightarrow \frac{2\pi}{T_s} \text{ (rad/sec)} \triangleq \omega_s$

$$2\omega_M \leq \omega_s$$



$$2\omega_M > \omega_s$$

undersampling

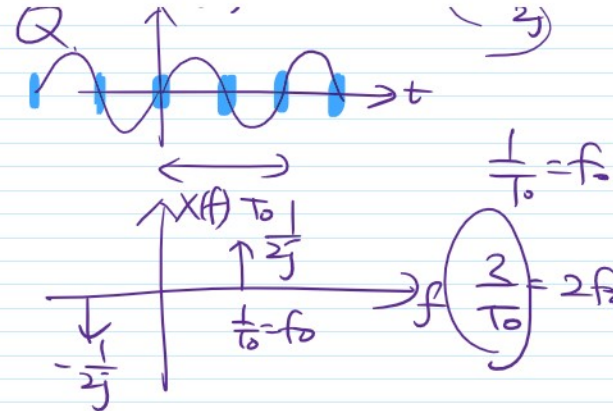


$$x(t) = \sin 2\pi f_0 t = \frac{e^{j2\pi f_0 t} - e^{-j2\pi f_0 t}}{2j}$$

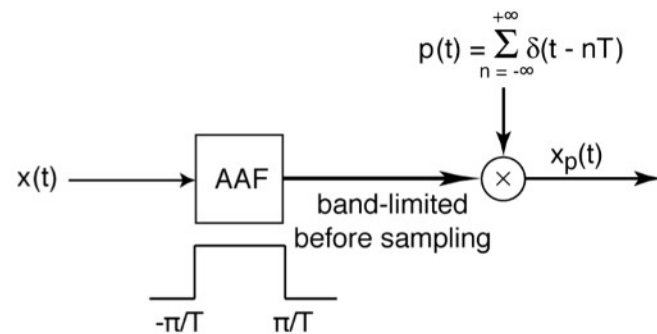
- Higher frequencies of $x(t)$ are “folded back” and take on the “aliases” of lower frequencies
- Note that at the sample times, $x_p(nT) = x(nT)$

18

여전히 sample time에서는 perfect하다. 그러나 샘플 사이의 값에서 잘못된다.



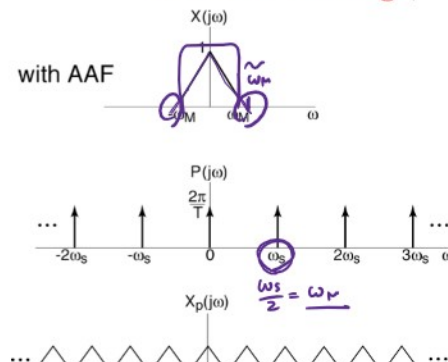
Therefore, the first step in sampling is **anti-alias filtering (AAF)** — a LPF to assure that $\omega_s \geq 2\omega_M$

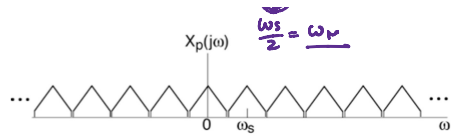


19

만약 sampling rate가 주어 진다면, 다음 할 일은 sampling rate의 절반 이하를 갖는 LPF로 AAF하는 일이다.

The effect of **anti-alias filtering (AAF)**

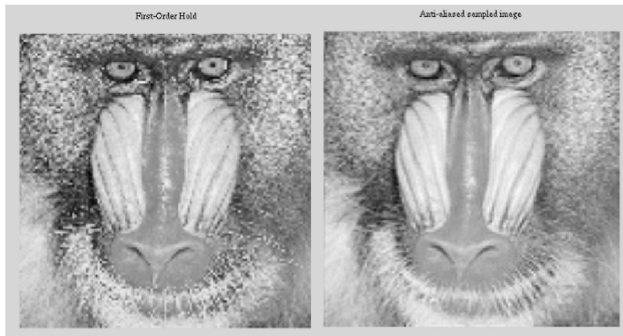




The AAF low-pass filter will rid of the information in $x(t)$ beyond $|\omega| > \omega_s/2$, but will avoid the much more serious aliasing problem. trade-off가 있다.

Effect of sampling on images

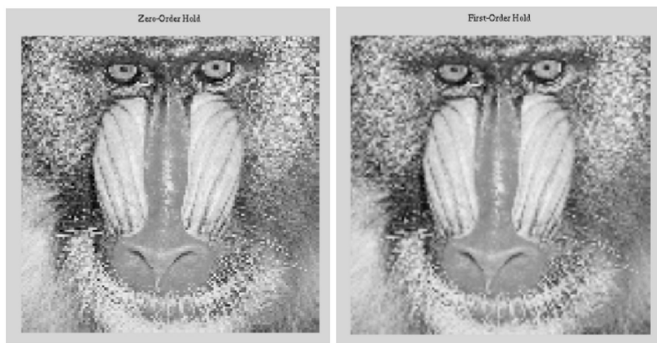
The effect of the anti-aliasing filter (AAF) is seen by comparing the reconstructed image without AAF (left) and with AAF (right). Both used a first-order hold.



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Effect of sampling on images (cont.)

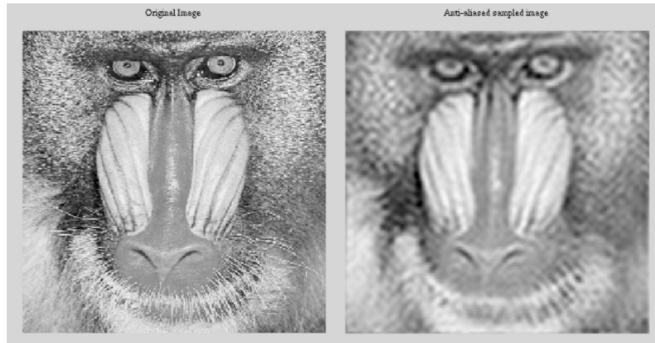
The sampled image ($\times 2$) has been reconstructed with a zero-order hold on the left, and a first-order hold (linear interpolation) on the right.



22

Effect of sampling on images (cont.)

The original image is shown on the left and the same image passed through an anti-aliasing filter appropriate to sampling every 4th point is shown on the right.



23

ADC란 1) sampler와 2) quantizer로 구성되어 있다.

엄밀히 sampler는 CT/DT (CDC: CT to DT converter)인데

$x(t)$ 라는 CT signal을
 $x[n]$ 이라는 DT signal로 변환하는 시스템이고
그 input output relation은

$$x[n] = x(nT + T_0), \text{ for all } n$$

이 된다.

여기서 two parameters가 중요한데, 하나는 sampling rate $1/T$ 이고 다른 하나는 time offset T_0 이다.

보통은 time offset T_0 를 0으로 놓고 생각한다.

EECE233 Signals and Systems

Lecture Note #19

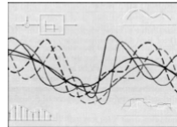
Prof. Joon Ho Cho

(Slides thanks to Qing Hu*, D. Boning, D. Freeman, T. Weiss, J. White, and A. Willsky)

Covers O & W pp. 527-543

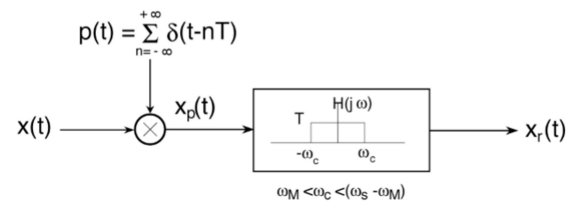
1. Review/Examples of Sampling/Aliasing
2. DT Processing of CT Signals

* These lecture notes are based on the lecture notes used in 6.003 taught by Prof. Qing Hu at M.I.T. These notes are adopted for EECE233 under the permission of Prof. Qing Hu.



1

Sampling Review



Def. If $X(j\omega) = 0$, $|\omega| > \omega_M \Rightarrow x(t)$ is **band-limited**

$$\text{and } \omega_s = \frac{2\pi}{T} > 2\omega_M$$

then, assuming we choose $\omega_M < \omega_c < \omega_s - \omega_M$, $x_r(t) = x(t)$

Def. **Aliasing** — if the sampling criterion is not satisfied, that is:

$$\omega_s < 2\omega_M.$$

2

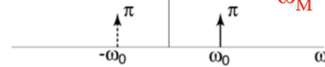
A Simple Example of aliasing

$$x(t) = \cos(\omega_0 t)$$

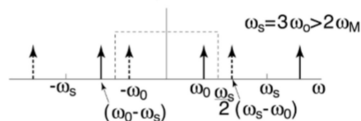
$$X(j\omega)$$

In this case,

$$\omega_M = \omega_0$$

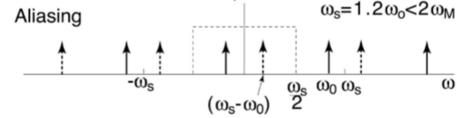


$$X_p(j\omega)$$



After a LPF, get our original signal back. No problem.

$$X_p(j\omega)$$



Q: What happens to the signals within the LPF when the signal frequency ω_0 increases?

3

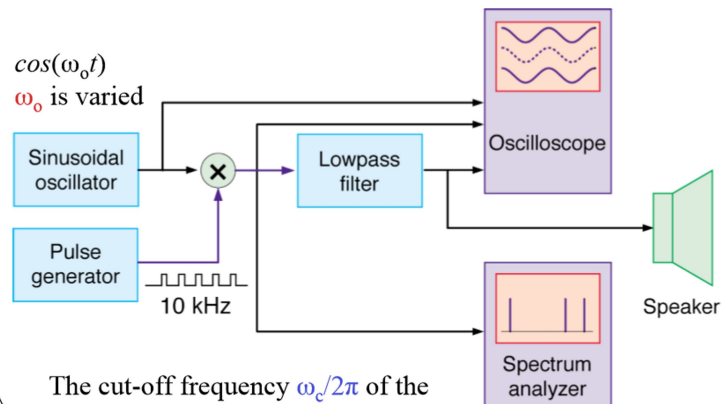
Q. $\sin(\omega_0 t)$ 의 경우 최대주파수가 ω_0 이다. 이를 2배인 $2\omega_0$ 로 sampling하면 어떻게 되는가?
후에 perfect reconstruction이 가능한가?
A. No. sampling하면 언제나 0이다.

Q. 왜 이런일이 생기나?
A. 사실 엄밀히 말해 sampling theorem은 finite energy signal에서 유도된다. finite energy signal이면 최대주파수의 2배 '이상'으로 샘플링하면 문제 없다. 그러나 sin함수는 finite energy signal이 아니다.

최대주파수의 2배 초과로 하면 된다?

Demo:

The effect of sampling on sinusoidal audio signals

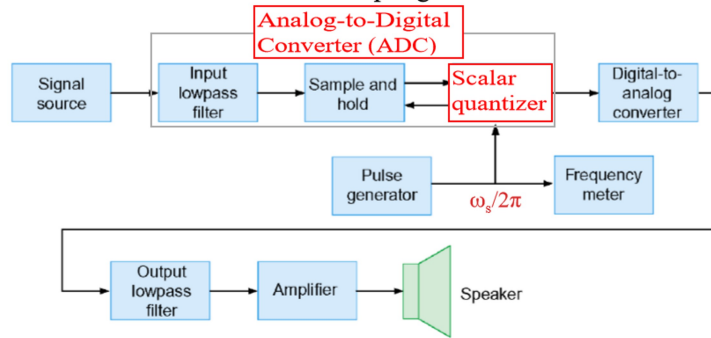


The cut-off frequency $\omega_c/2\pi$ of the LPF is kept at ~ 4 kHz.

4

Demo:

The effect of sampling on music



The sampling frequency $\omega_s/2\pi$ is varied and displayed on the frequency meter.

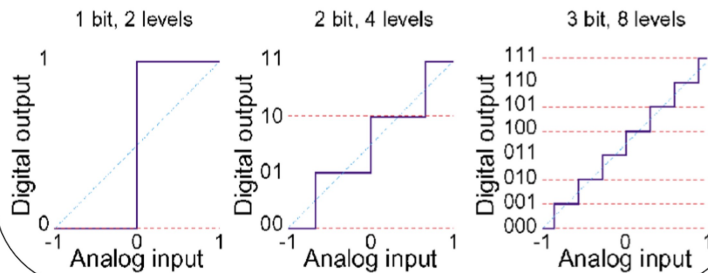
5

ADC (Analog-to-Digital Converter) consists of **Sampler + Quantizer**, where

a sampler consists of an AAF and a CDC (Continuous-time to Discrete-time Converter), a quantizer also **encodes** to a bit sequence.

Demo: The effect of quantization on music.

In addition to sampling to convert CT signals to DT signals, the DT signals are further *quantized* in their amplitudes. That is, $x \rightarrow (10110101001010)$, convert x into a binary number with a limited precision and maximum value, so that they can be processed by DSPs.



6

quantization noise가 작으면 귀로는 quantization effect를 알아채지 못한다.

scalar quantizer not only has

1. quantization noise
- but also has
2. overflow error or
3. clipping error