

• Review

- Achievability of rate R :

There exists a sequence of $(2^{n_k R}, n_k)$ codes such that $\lambda_k (\triangleq \text{maximal code-word error probability}) \rightarrow 0$.

- The supremum of achievable rates ~~operational ch.~~ capa.

$$= \max_{p_X(x)} I(X; Y)$$

~~informational channel~~

"The channel coding theorem for DMC."

- Direct Part

If $R \leq \max_{p_X(x)} I(X; Y)$, then R is achievable.

- Converse

If R is achievable, then $R \leq \max_{p_X(x)} I(X; Y)$.

- Achievability

(i) Consider the random $(\Gamma_{2^{nR}}, n)$ coding w/ a certain $P_X(x)$

(ii) $\Pr(\hat{W} \neq m_i; W = m_i)$ of the typical set decoder is given by

$$\begin{aligned}
 \Pr(\hat{W} \neq m_i; W = m_i) &= \sum_{\underline{x}^{(n)} \in \mathcal{X}^n} \Pr(\hat{W} \neq m_i, \underline{X}_1^{(n)} = \underline{x}^{(n)}; W = m_i) \\
 &= \sum_{\underline{x}^{(n)} \in \mathcal{X}^n} \Pr(\hat{W} \neq m_i | \underline{X}_1^{(n)} = \underline{x}^{(n)}, W = m_i) \times \Pr(\underline{X}_1^{(n)} = \underline{x}^{(n)}; W = m_i) \\
 &\leq \Pr(\{(\underline{X}^{(n)}, \underline{Y}^{(n)}) \notin A_\epsilon^{(n)}\}) + (2^{nR} - 1) \Pr(\{(\tilde{\underline{X}}^{(n)}, \underline{Y}^{(n)}) \in A_\epsilon^{(n)}\}) \\
 &\leq \epsilon + 2^{nR} 2^{-n(I(X;Y) - 3\epsilon)} \\
 &= \epsilon + 2^{-n(I(X;Y) - R - 3\epsilon)}
 \end{aligned}$$

$$\therefore \Pr(W \neq m_i; W = m_i) \rightarrow 0 \text{ as } n \rightarrow \infty$$

$$\text{if } R < I(X;Y)$$

(iii) Now choose $P_X(x) = \arg\max_{P_X(x)} I(X;Y)$

& repeat steps (i) & (ii), then we can say

If $R < \max_{p(x)} I(X;Y)$ random coding
 is used, & typical set decoding
 then

$$\Pr(\hat{W} \neq m_i ; W = m_i) \rightarrow 0 \text{ as } n \rightarrow \infty.$$

(iv) Consider random bits w/ uniform distribution. Define

$$P_e(\mathcal{C}_n) \triangleq \Pr(\hat{W} \neq W ; \mathcal{C}_n)$$

↑
 average codeword error
 prob. given $\mathcal{C}_n$.

$$\begin{aligned} \overline{P_{e,n}} &= \Pr(\hat{W} \neq W) \\ &= \sum_{\mathcal{C}_n} \Pr(\hat{W} \neq W | \mathcal{C}_n) \Pr(\mathcal{C}_n) \\ &= \sum_{\mathcal{C}_n} \left(\sum_{i=1}^{2^{nR}} \Pr(\hat{W} \neq m_i | W = m_i, \mathcal{C}_n) \right. \\ &\quad \left. \Pr(W = m_i | \mathcal{C}_n) \right) \cdot \Pr(\mathcal{C}_n) \\ &= \sum_{i=1}^{2^{nR}} \left[\sum_{\mathcal{C}_n} \Pr(\hat{W} \neq m_i | W = m_i, \mathcal{C}_n) \right. \\ &\quad \left. \Pr(\mathcal{C}_n | W = m_i) \right] \Pr(W = m_i) \end{aligned}$$

$$= \sum_{i=1}^n \Pr(\hat{w} \neq m_i | w = m_i) \Pr(w = m_i)$$

$$\leq \sum_{i=1}^{2^{nR}} \left(\epsilon + 2^{-n(I(X;Y) - R - 3\epsilon)} \right) \Pr(W=M_i)$$

$$= \epsilon + 2^{-n(I(X;Y) - R - 3\epsilon)}$$

If bits are i.i.d. uniform r.v.'s,
 the random coding w/ $\arg \max_{p(x|x)} I(X;Y)$,
 & the typical set decoder are used,
 then $R < \max_{p(x|x)} I(X;Y)$

$$\bar{P}_{e,n} < \epsilon' \text{ for s.l. n.}$$

⇒ There exists $\mathcal{E}_n$ s.t. $P_e(\mathcal{E}_n) < \epsilon'$
 for s.l. n. ↑ (when bits are i.i.d. uniform)

(v) Using $\mathcal{E}_n$,

$$\left(\begin{array}{l} \Pr(\hat{W} \neq m_1; W=m_1) \\ \Pr(\hat{W} \neq m_2; W=m_2) \\ \vdots \\ \Pr(\hat{W} \neq m_{2^{nR}}; W=m_{2^{nR}}) \end{array} \right)$$

Among these 2^{nR} conditional codeword
 error probabilities, we can find
 $c_{nR} \leq c_n \leq c'$

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$$\log \frac{2^{nR}}{2} / n = \frac{nR-1}{n} = R - \frac{1}{n} \rightarrow R.$$

We know have $\tilde{\mathcal{E}}_n$, $\lambda_n(\tilde{\mathcal{E}}_n) < 2\varepsilon'$ for s.l. n

(vi) Choose $(\varepsilon'_k)_k$ s.t. $\varepsilon'_k \rightarrow 0$ as $k \rightarrow \infty$.
Then, $\exists (\tilde{\mathcal{E}}_{nk})_k$ s.t.

$$\lambda_k(\tilde{\mathcal{E}}_{nk}) < 2\varepsilon'_k$$

$\therefore R \leq \max_{p(x)} I(X;Y)$ is achievable.

Converse.

Recall that

$$H(W|\hat{W}) \leq h_b(P_e) + P_e \cdot \log(2^{nR}-1)$$

E : indicator function of $w, \hat{w}$.
error

$$H(E|W, \hat{W}) = 0$$

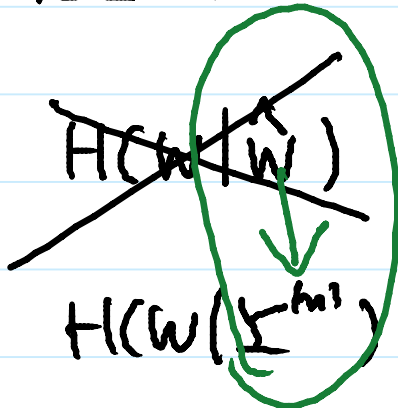
To show,

"Suppose there exists a sequence of codes $\mathcal{E}_k$ s.t. $R_k \rightarrow R$

$$\lambda_k \rightarrow 0.$$

Then, $R \leq \max_{p(x)} I(X;Y)$."

Notice that $\underline{y}^{(n)} \rightarrow \boxed{\text{dec}(R)} \rightarrow \hat{w}$



$$\begin{aligned}
 H(W|\underline{y}^{(n)}) &= H(W|\underline{y}^{(n)}) + \underbrace{H(E|W, \underline{y}^{(n)})}_{=0} \\
 &= H(W, E|\underline{y}^{(n)}) \\
 &= \underbrace{H(E|\underline{y}^{(n)})}_{\leq 1} + \underbrace{H(W|E, \underline{y}^{(n)})}_{\leq P_e \cdot \log(2^{nR}-1)} \\
 &\leq nR \cdot P_e
 \end{aligned}$$

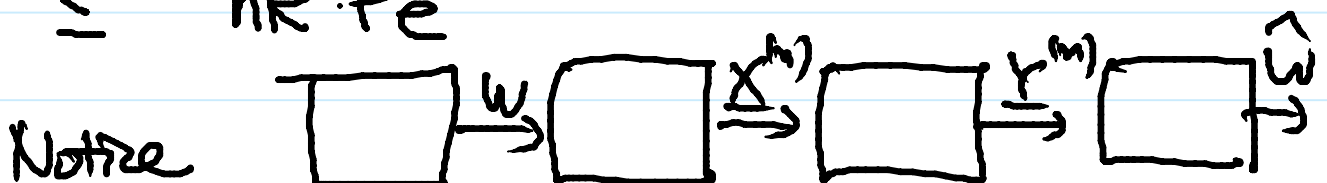
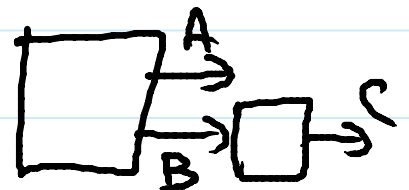
where

$$\begin{aligned}
 H(W|E, \underline{y}^{(n)}) &= \sum_{e=0}^1 \sum_{\underline{y}^{(n)}} H(W|E=e, \underline{y}^{(n)}=\underline{y}^{(n)}) \Pr(E=e, \underline{y}^{(n)}=\underline{y}^{(n)}) \\
 &= \sum_{\underline{y}^{(n)}} H(W|E=0, \underline{y}^{(n)}=\underline{y}^{(n)}) \Pr(E=0, \underline{y}^{(n)}=\underline{y}^{(n)}) \\
 &\quad + H(W|E=1, \underline{y}^{(n)}=\underline{y}^{(n)}) \Pr(E=1, \underline{y}^{(n)}=\underline{y}^{(n)})
 \end{aligned}$$

$$\leq \sum_{\underline{y}^{(n)}} \log(2^{nR}-1) \Pr(E=1, \underline{y}^{(n)}=\underline{y}^{(n)})$$

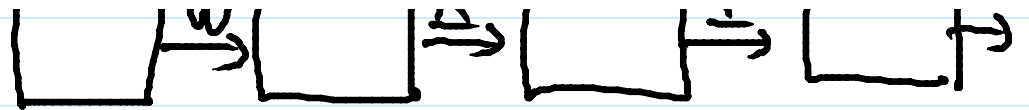
$$= P_e \cdot \log(2^{nR}-1)$$

$$\leq nR \cdot P_e$$



Notice

Notice



$$\left(\because W \rightarrow X^{(n)} \rightarrow Y^{(n)} \text{ \& } Y^{(n)} \rightarrow W \rightarrow X^{(n)} \right)$$

$$H(W) = H(W|Y^{(n)}) + I(W; Y^{(n)})$$

$$= H(W|Y^{(n)}) + I(X^{(n)}; Y^{(n)})$$

$$\leq 1 + nR \cdot P_e + H(Y^{(n)}) - H(Y^{(n)}|X^{(n)})$$

$$\leq 1 + nR \cdot P_e + \sum_{i=1}^n H(Y_i^{(n)}) - H(Y_i^{(n)}|X^{(n)})$$

$$\sum_{i=1}^n H(Y_i^{(n)} | \underbrace{Y_1^{(n)}, \dots, Y_{i-1}^{(n)}, X^{(n)}}_{X_i^{(n)}})$$

$$= 1 + nR \cdot P_e$$

$$+ \sum_{i=1}^n (H(Y_i^{(n)}) - H(Y_i^{(n)}|X_i^{(n)}))$$

$$= 1 + nR \cdot P_e + \sum_{i=1}^n I(X_i^{(n)}; Y_i^{(n)})$$

$$\leq 1 + nR \cdot P_e + \sum_{i=1}^n \left(\max_{p_X(x)} I(X; Y) \right)$$

$$= 1 + nR \cdot P_e + n \cdot \left(\max_{p_X(x)} I(X; Y) \right)$$

Since this inequality holds for all distribution of W , choose

uniform W .

$$H(W) \leq \log_2 2^{nR}$$

$$\Rightarrow \log_2 2^{nR} \leq \underline{1 + nR \cdot P_e + n (\max_{p_X(x)} I(X;Y))}$$

$$\Rightarrow R \leq \frac{1}{n} + R \cdot P_{e,n} + \max_{p_X(x)} I(X;Y)$$

$$\Rightarrow R \leq \max_{p_X(x)} I(X;Y) .$$